

22851-P001US

PATENT

- 1 -



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Examiner:
C. Thompson et al. : M. Huseman

Serial No.: 09/576,462 : Group Art Unit: 2161

Filed: May 23, 2000 :

Title: SYSTEM AND METHOD :
FOR PROCESSING IMPORT/EXPORT :
TRANSACTIONS :

DECLARATION UNDER 37 C.F.R. § 1.131

I hereby declare:

1. My name is Cliff Burke Thompson. I am one of the inventors of the above-identified patent application.
2. I conceived of the invention claimed in the above-identified patent application (the "Invention") prior to July 30, 1999.
3. In furtherance of the development of the Invention, I requested and received from Tokyo Electron a soft copy of a database file of part numbers identifying exemplary products imported into the U.S. A hard copy of the first page of that database file is attached hereto as Exhibit B. A partial printout of the contents of the diskette on which the soft copy of the database file was stored is shown in Exhibit A attached hereto, which indicates that the database file was modified on July 19, 1999. This exemplary database file was obtained by me to create and format a database as recited in the claims of the above-identified patent application.

4. I could only work on the Invention part-time, since I maintained separate employment with Yusen Air & Sea Service U.S.A., Inc. ("Yusen"). Conception of the Invention and its reduction to practice were unrelated to my duties as an employee of Yusen. Such duties included extensive travel during August – October 1999. During July– October 1999, I diligently worked on the details of how to implement and reduce to practice the Invention. Such work included the creation of block and flow diagrams and accompanying notes as shown in Exhibits C-J attached hereto, finding a server on the Internet to host the database to be created, and finding a person knowledgeable about import/export tariff regulations to ensure that the system and method of the Invention would work as intended from a government regulatory standpoint. This person is David Kirby, the other inventor in the above-identified patent application.

5. David Kirby and I refined the operation of the Invention and then handed it over to Charles Normann who created the software that reduced the Invention to practice in October 1999.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this Declaration is directed.

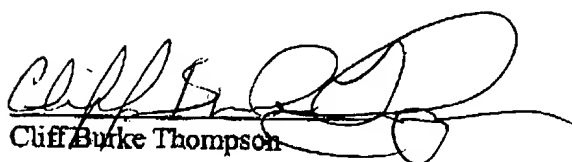
NOV-30-01 FRI 03:14 PM

P. 02

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PATENT

Respectfully submitted,


Cliff Burke Thompson

AUSTIN_111786561
11/29/2001 - 22851-P001US



EXHIBIT A

A:\

Name
stock parts edit

<u>Size</u>	<u>Type</u>
379KB	Microsoft Excel Worksheet

<u>Last Modified</u>
7/12/99 3:33 PM

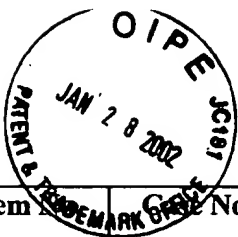


EXHIBIT B

Item No.	Case No.	Box No.	Parts No.	Description
2000	1	67	017-000126-1	CUFF..GL.D.H ID
2001	1	67	017-000127-1	CUFF..GL.D.H ID
2002	1	67	017-000131-1	CUFF..P TYPE ID
2003	10	104-113	017-000132-1	HOSE,FLEX..V-SUS
2004	4	116,117	017-000135-1	HOSE,FLEX..GL.D.H
2005	3	167,168	017-000138-1	HOSE,FLEX..GL.D.H
2006	5	114,115	017-000139-1	HOSE,FLEX..GL.D.H
2007	12	-	017-000183-,P	HOSE,FLEX..GL.D.H19
2008	1	67	017-000195-1	CLAMP,HOSE..TM-144SUS-110-5
2009	1	67	017-000196-1	CLAMP,HOSE..TM-144SUS-25-5
2010	1	67	017-000197-1	CLAMP,HOSE..TM-144SUS-38-5
2011	1	67	017-000199-1	CLAMP,HOSE..TM-144SUS-50-5
2012	1	67	017-000200-1	CLAMP,HOSE..TM-144SUS-65-5
2013	1	67	017-000201-1	CLAMP,HOSE..TM-144SUS-75-5
2014	1	67	017-000202-1	CLAMP,HOSE..TM-144SUS-90-5
2015	6	71	017-000210-1	TUBE,TEFLON..NO.9003-PTFE 6.35*4.35
2016	6	71	017-000214-1	TUBE,TEFLON..NO.9003-PTFE 4*3
2017	6	71	017-000215-1	TUBE,TEFLON..NO.9003-PTFE 6*4
2018	12	-	017-000359-1	HOSE,FLEX..V.S.-A TYPE 32
2019	12	-	017-000363-1	HOSE..DS-
2025	4	118-122	017-000455-1	HOSE,FLEX..P-
2026	3	123-127	017-000456-1	HOSE,FLEX..P-2
2028	2, 4	128-134	017-000813-1	DUCT..KANA-ALUMINUM-DUCT 100
2029	5-C10	155-164	017-001038-1	HOSE,FLEX..V-PA
2030	6	71	017-001063-1	TUBE,TEFLON..NO.9003-PL 12A L=3
2031	1	67	017-001150-1	CUFF..DIA75 CUFF-SI (92391LR
2032	3	165, 166	017-001191-1	HOSE,FLEX..GL.D.H
2033	11	69	017-001192-1	CUFF..GL.D.H ID=
2034	6	71	017-001269-1	TUBE..PL-1-3/8 V
2035	6	71	017-001270-1	TUBE..PL-1-3/8 E
2036	6	71	017-001271-1	TUBE..PL-1-3/8 C
2037	4	94-103	017-001288-1	HOSE,FLEX..V-PAL
2038	3	135-144	017-001289-1	HOSE,FLEX..V-PA
2039	5-C6-C10	145-154	017-001290-1	HOSE,FLEX..V-PA
2040	1	67	017-001326-1	TUBE,VINYL..7716
2041	4	192	017-001536-1	HOSE..DIA12 ALUMI INCLUE
2042	1	67	017-001557-1	TUBE,FLEX..PENN CHEMCT 1/2" 2FI
2043	11	69	017-001655-1	TUBE..PN-4-4*2.5 I
2044	1	67	017-001961-1	TUBE PART..1/4 DUST CAP (CAT2302-25)



EXHIBIT C

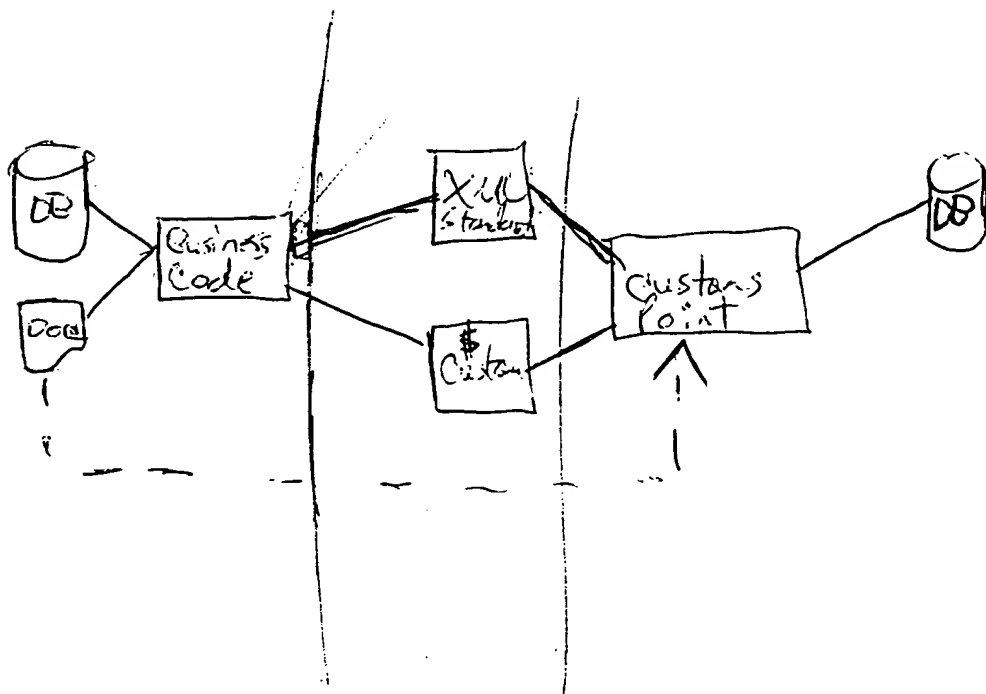
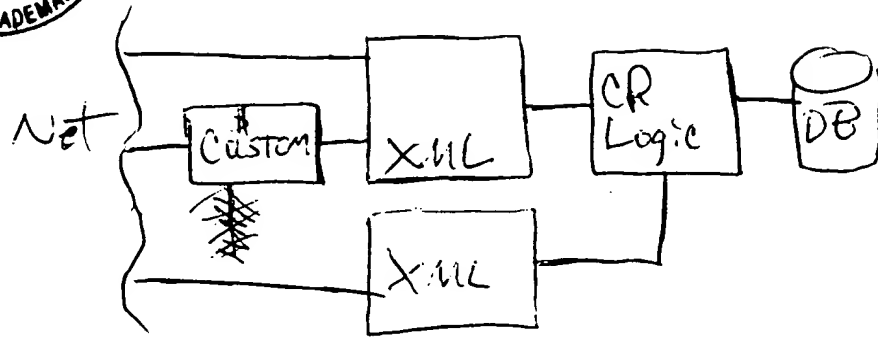




EXHIBIT D

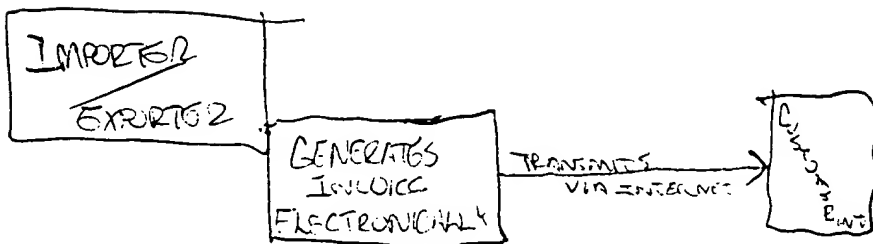
MGTLOS
OBJECTIVE 1) ~~PROCESSING~~ MODEL

HOST SERVER

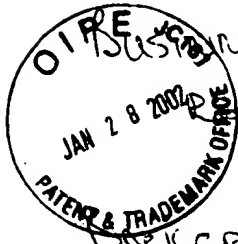
DATABASE OF PRODUCTS ~~PROCESSING~~

FIELDS WITH APPROPRIATE ITEMS RELATING TO CLASSIFICATION

PART# DSSC 1 DSSC 2 UOM 1 UOM 2 COUNTRY
UNIT VALUE



PATENT PROCESS * THIS IS A BUSINESS MODEL



BUSINESS TO BUSINESS TO CUSTOMER INFORMATION MGMT
RELATING TO IMPORT/EXPORT PROCESS

BACKGROUND

LEGAL

COMMERCIAL

METHOD (CURRENT)

CUSTOMS POINT

LEGAL

COMMERCIAL

METHOD

SUMMARY

- METHOD OF ^{INFRASTRUCTURE} OBJECTIVES OF CUSTOMSPPOINT ^{RELATING TO IMPORTERS/EXPORTERS/MANUFACTURERS}
- 1) PROVIDE DATABASE FORMAT OF PRODUCTS THAT COMPLY WITH ^{DISCRIMINATE} APPLICABLE GOVT CUSTOMS REPORTING REGULATIONS FOR ^{OR SIMILAR} PURPOSES OF MERCHANDISE/COMMODITY TAXES CLASSIFICATION ^{OR SIMILAR} ^{BUSIN}
 - 2) ^{METHOD} ^{TARGET} AUTOMATED CLASSIFICATION OF MERCHANDISE/COMMODITIES ACCORDING TO INFORMATION SUPPLIED TO DATABASE FROM CLIENT
 - 3) PROVIDE METHOD IN WHICH GOVT CUSTOMS RELATED INFORMATION CONCERNING MERCHANDISE/COMMODITIES INVOLVED WITH AN IMPORT/EXPORT TRANSACTION ARE UNIFORMLY PROVIDED TO ~~VARIOUS~~ ^{VEHICLES} (FREIGHT FORWARDERS, CUSTOMS BROKERS, INTEGRATORS, LOGISTICS COMPANIES, CONSULTANTS, OR SIMILAR) FOR THE PURPOSE OF COMPLETING THE IMPORT/EXPORT TRANSACTION IN ACCORDANCE WITH APPLICABLE RULES AND REGULATIONS VIA ~~AN~~ ^{INTER} ~~INFORMATION~~ ^{MODIUM}
 - 4) ^{VIA INTERNET} PROVIDE AUTHORIZED CLIENTS SUMMARY/MANAGEMENT REPORTS ON CLASSIC INVOICES FOR PURPOSES OF UP TO DATE FORECASTING/SALES/TREND



EXHIBIT F

OBJECTING OF HOST SYSTEM (1)

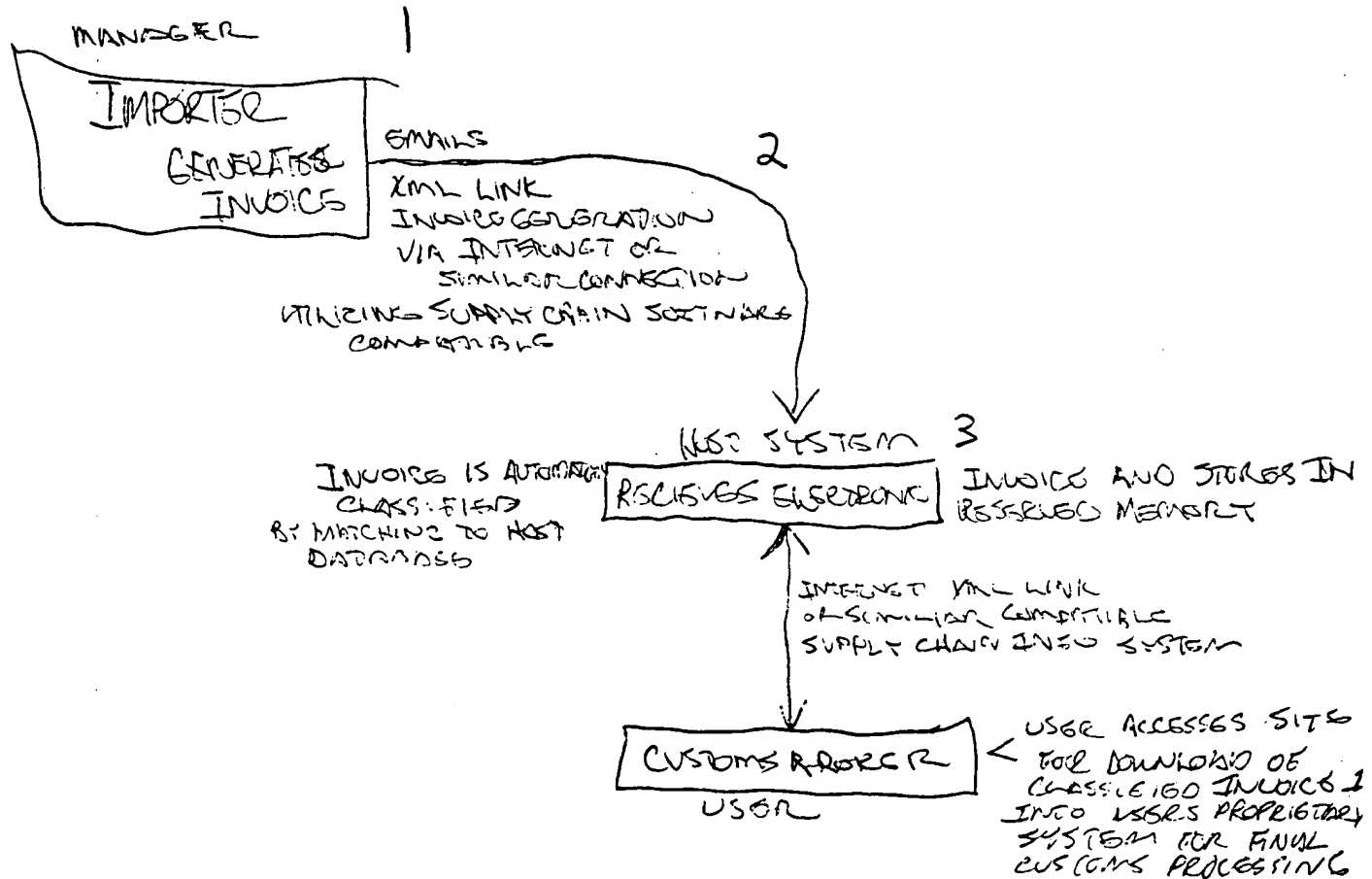
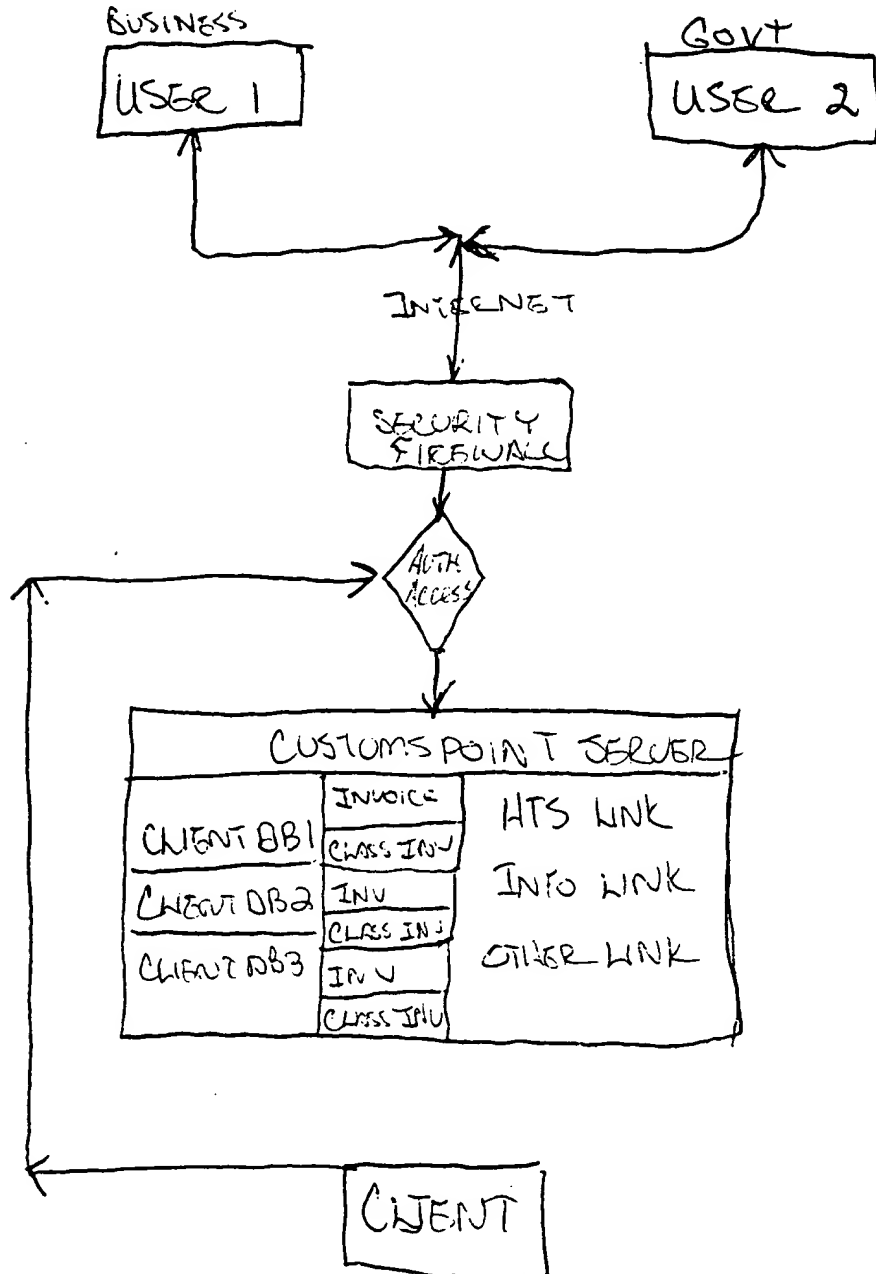




EXHIBIT G

OBJECTIVE OF USERS





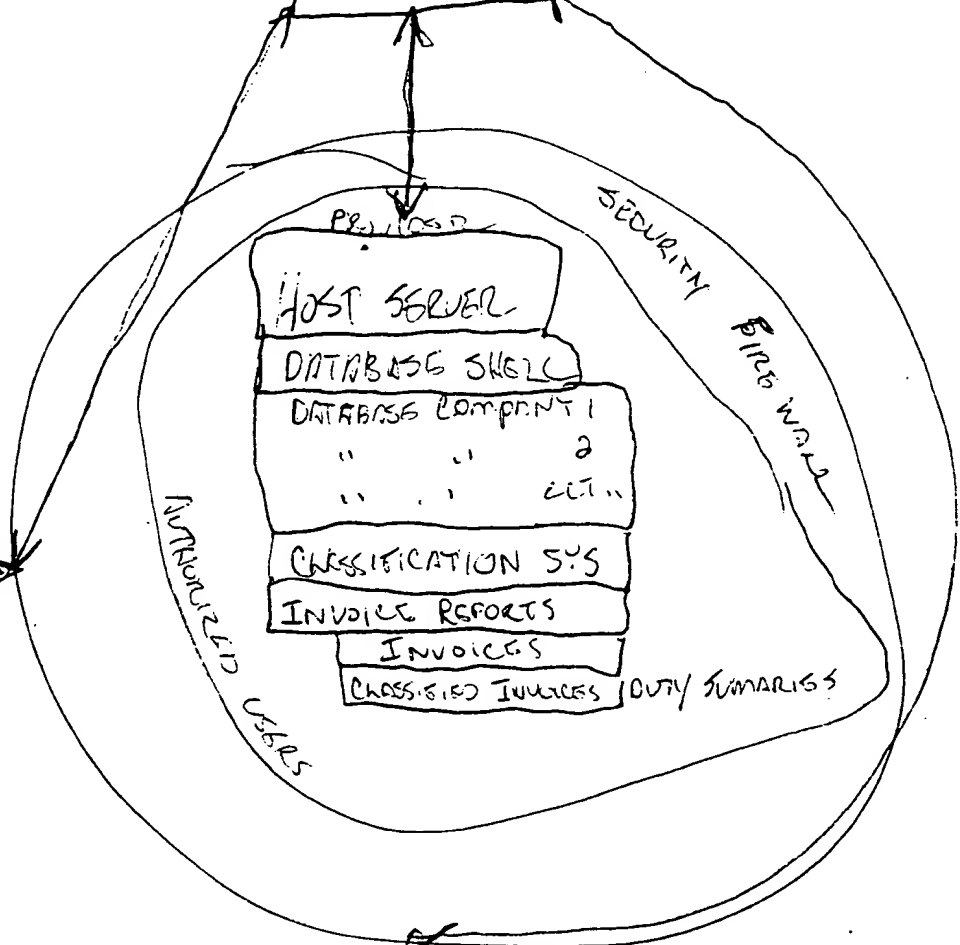
IMPORTER OF RECORD
SHIPPER/CONSIGNEE
MANUFACTURER/DISTRIBUTOR

PROVIDER OF INFORMATION
ADDRESS/IDENTS INFORMATION
RETRIEVE CUSTOMS INFORMATION
DOWNLOAD CLASSIFIED INVOICES
RETRIEVE SUMMARY REPORTS

USER ACCESS

USBC
BOAT CUSTOMS
AGENCY

REVIEW PROCEED
DATABASES AND CLASSIFIED -
INVOICE INFORMATION
FOR COMPLIANCE
PROVISIONS



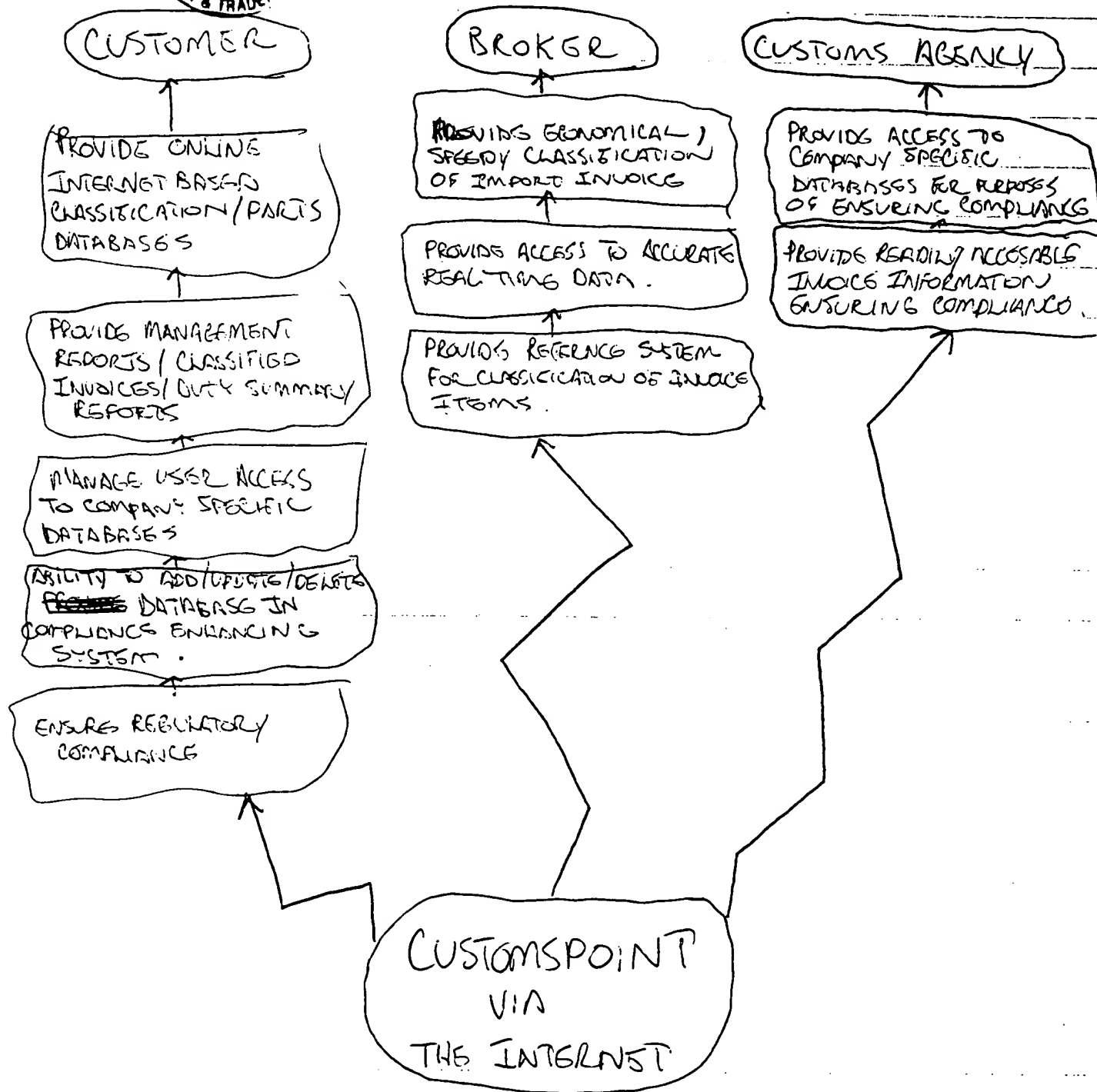
USER

CUSTOMS BROKER
FREIGHT FORWARDER
LOGISTICS AGENCY
INTERESTION
CONSOLIDATION
AUTHORIZED PERSONNEL ENTITY

USBC
RETRIEVE CUSTOMS INFORMATION ON ~~PRODUCTS~~ ^{COMMODITIES} IN L
DOWNLOAD CLASSIFIED INVOICES
RETRIEVE SUMMARY REPORTS



CUSTOMSPPOINT FUNCTIONS OF MODEL



JAN 28 2002

CUSTOMS POINT BUSINESS MODEL

BUSINESS PARTS

BUSINESS

TO

GOVERNMENT

CUSTOMER

BROKER

CUSTOMS AGENCY

SECURITY FIREWALL

MANAGE USER ACCESS

IMPORT TRANSACTION

YES

NO

INVOICE
PART NUMBERS

MAINTAIN CLASSIFICATION
DATA BASE /ADD/UPDATE
DELETE

ELECTRONIC
MANUAL ENTRY

YES

NO

VIEW PARTS/CLASSIFICATION
DATA ADD COMMENTS
(US CUSTOMS ONLY)

ELECTRONIC

MANUAL ENTRY

INPUT
INVOICE DATA

MATCH PART #'S
AGAINST PART/HITUS
DATABASE

CENTRAL DATABASE
OF CUSTOMER PARTS
ETARIFF CLASSIFICATION
INFORMATION
INTERNET APPLICATION
ENGINE

YES

NO

VIEW REPORTS
SUMMARY
INVOICES, ETC..

BUILD DATA RECORDS FOR
EACH INVOICE, JOINING
INVOICE ETARIFF DATA

COMPILE CUSTOMS
ENTRY REPORT FOR
IMPORT TRANSACTION

MASTER REPORT - TO
FACILITATE PREPARATION
OF CUSTOMS ENTRY

TRANSACTION SPECIFIC
DATABASE
INVOICE
HEADER DATA
INVOICE
LINE ITEMS
DATA &
HITUS

YES

NO

EXIT TO
OTHER SERV
OR AREA

DOWNLOADED/PRINT/
TRANSMIT TO
BROKER INTERFACE

VALUE ADDED SERVICES

HARMONIZED
TARIFF
SCHEDULE OF
THE U.S.

VARIOUS
REFERENCE
DATABASES

CUSTOMER
BASE
INFORMATION